

An Algorithmic Reduction Theory for Binary Codes: LLL and More

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Wessel van Woerden (CWI).

The logo for CWI (Centrum Wiskunde & Informatica) consists of a red parallelogram with the letters 'CWI' in white, bold, sans-serif font inside it.

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This work

Propose *analogues* from lattices to binary codes (Defs, Algs, Bounds).

Speed-up cryptanalytic algorithms for code-based cryptography. ?

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This talk

- Recall the LLL algorithm for lattices.
- Adapt it to codes.

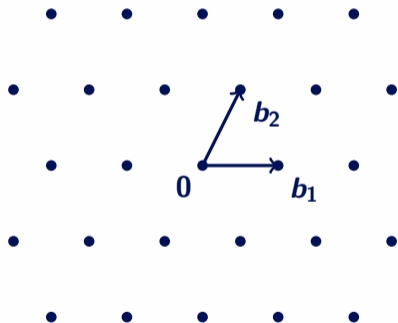
What notion of orthogonality for binary codewords?

Lattices & Codes

Lattice

$$\mathcal{L}(\mathbf{B}) := \{\sum_i x_i \mathbf{b}_i : \mathbf{x} \in \mathbb{Z}^k\} \subset \mathbb{R}^n$$

Euclidean

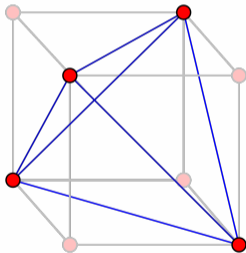


$$\mathcal{L} = \mathbf{b}_1\mathbb{Z} + \mathbf{b}_2\mathbb{Z}$$

Binary Code

$$\mathcal{C}(\mathbf{B}) := \{\sum_i x_i \mathbf{b}_i : \mathbf{x} \in \mathbb{F}_2^k\} \subset \mathbb{F}_2^n$$

Hamming



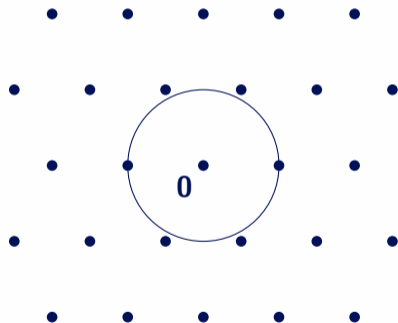
$$\mathcal{C} = \{000, 011, 101, 110\}$$

Hard Problems

Lattice

$$\lambda_1(\mathcal{L}) := \min_{x \in \mathcal{L} \setminus \{0\}} \|x\|_2$$

Euclidean

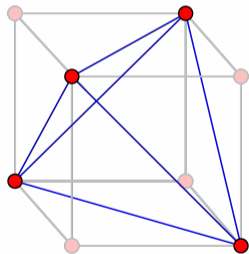


$$\mathcal{L} = b_1\mathbb{Z} + b_2\mathbb{Z}$$

Binary Code

$$d_{\min}(\mathcal{C}) := \min_{x \in \mathcal{C} \setminus \{0\}} |x|$$

Hamming

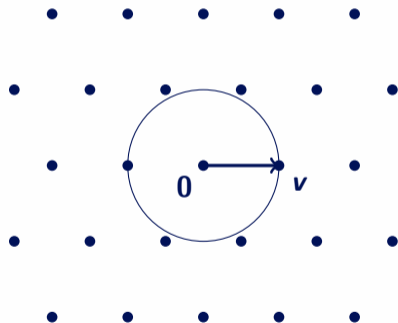


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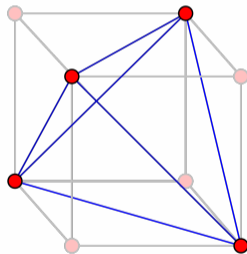
Find a *short nonzero* vector $\mathbf{v} \in \mathcal{L}(\mathbf{B})$.



$$\mathcal{L} = b_1\mathbb{Z} + b_2\mathbb{Z}$$

Binary Code

Find a *short nonzero* codeword $\mathbf{v} \in \mathcal{C}(\mathbf{B})$.

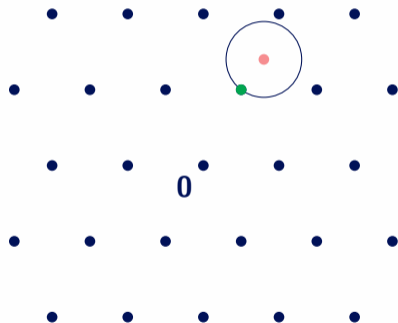


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Hard Problems

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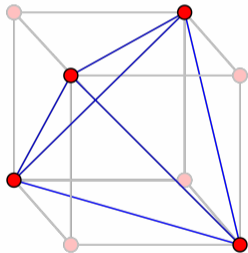
Given a target $t \in \mathbb{R}^n$ find
a *close* vector $v \in \mathcal{L}(B)$.



$$\mathcal{L} = b_1\mathbb{Z} + b_2\mathbb{Z}$$

Binary Code

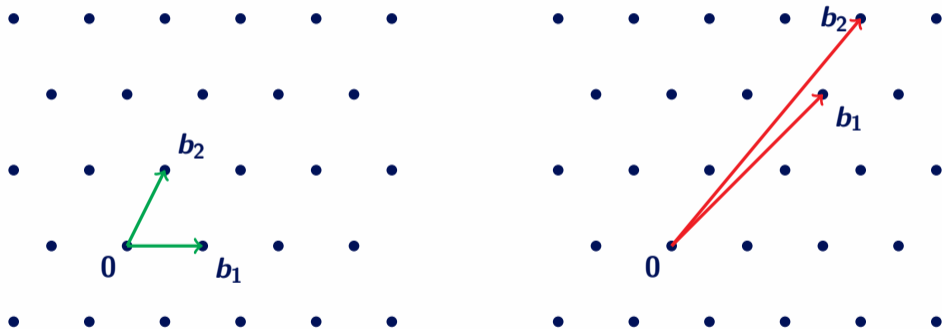
Given a target $t \in \mathbb{F}_2^n$ find
a *close* codeword $c \in \mathcal{C}(B)$.



$$\mathcal{C} = \{000, 011, 101, 110\}$$

Basis Reduction

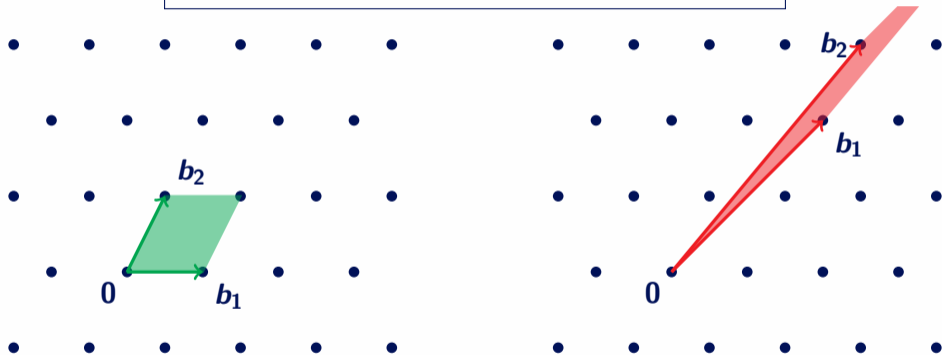
$B' := B \cdot U$ is a basis of $\mathcal{L}(B)$ if and only if $U \in \text{GL}_d(\mathbb{Z})$.



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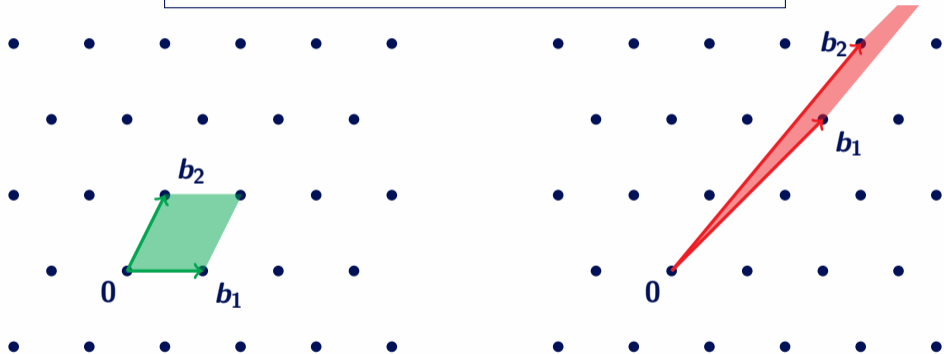
Invariant: $\det(\mathcal{L}) := \text{Vol}(\mathbb{R}^n / \mathcal{L}) = \det(B)$.



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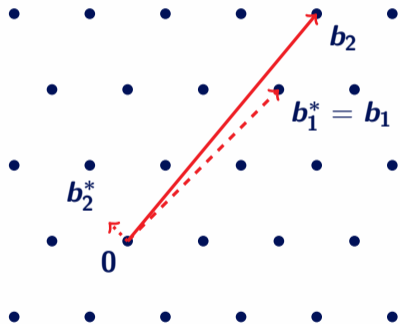
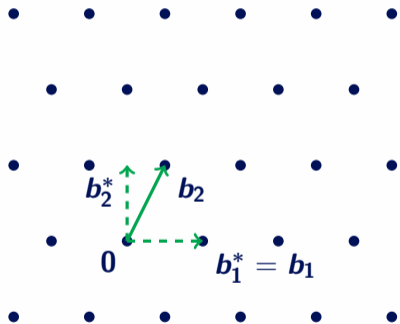


Find a ‘good’ lattice basis of \mathcal{L} .

Short and somewhat orthogonal.

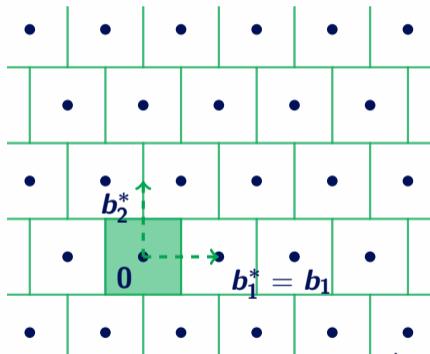
Gram-Schmidt Orthogonalisation

$$b_i^* := \underbrace{\pi(b_1, \dots, b_{i-1})^\perp}_{\pi_i}(b_i)$$



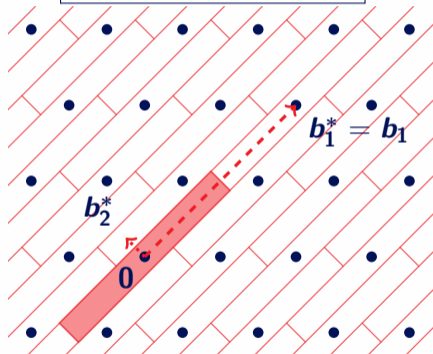
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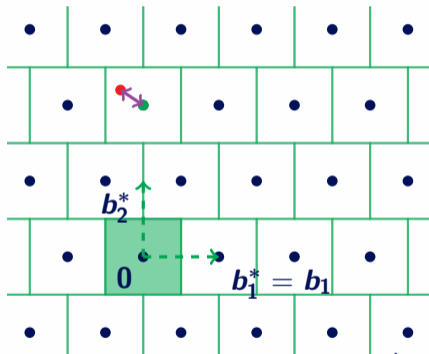
Fundamental Area: $\mathcal{F}_{B^*} := \prod_{i=1}^k \left[-\frac{1}{2}\mathbf{b}_i^*, \frac{1}{2}\mathbf{b}_i^* \right)$

$$\prod_{i=1}^k \|\mathbf{b}_i^*\| = \det(\mathcal{L})$$



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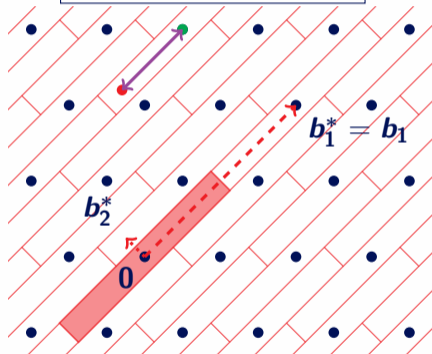
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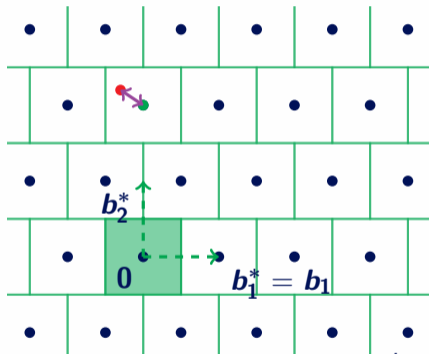
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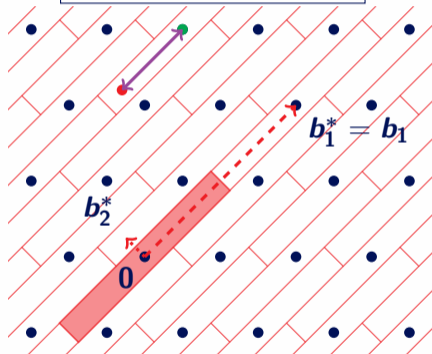
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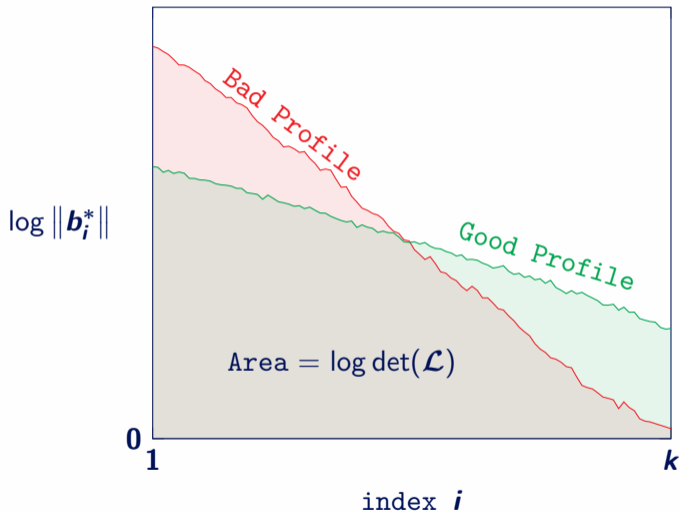
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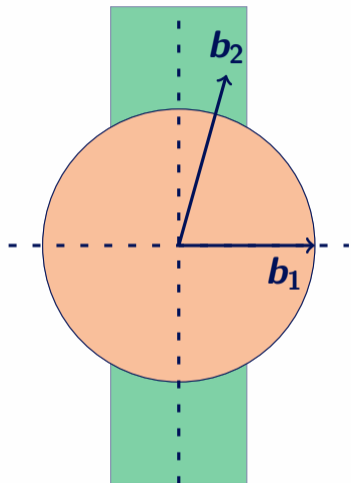


Good Basis:
 $\|b_1^*\| \approx \dots \approx \|b_k^*\|$

Profile



Lagrange Reduction (k=2)



Wristwatch Lemma

For any lattice \mathcal{L} of rank 2
there exists a basis (b_1, b_2) s.t.

$$\|b_1\| \leq \|b_2\|$$

$$|\langle b_1, b_2 \rangle| \leq \frac{1}{2} \|b_1\|$$



$$\|b_1^*\| \leq \sqrt{\frac{4}{3}} \cdot \|b_2^*\|$$

LLL Reduction

Definition

A basis \mathbf{B} of \mathcal{L} is LLL-reduced if $(\pi_i(\mathbf{b}_i), \pi_i(\mathbf{b}_{i+1}))$ is Lagrange Reduced for all $i < k$.

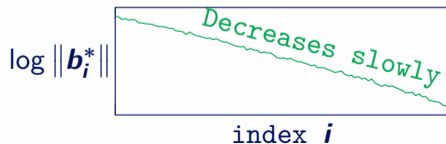
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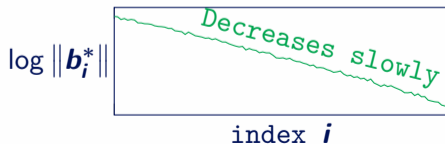
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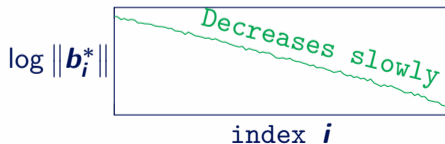
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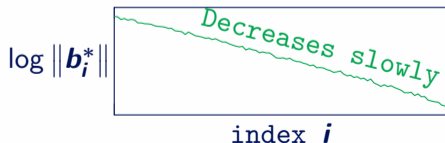
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Termination in poly-time:

Requires a slight relaxation.
(ϵ -Lagrange Reduced)

Proof argument:

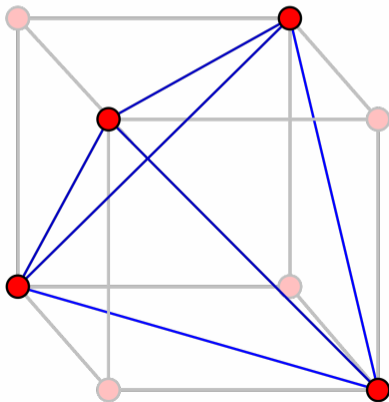
$$P = \sum_{i \leq k} (n + 1 - i) \cdot \log \|\mathbf{b}_i^*\|$$

Decreases by ϵ at each step and is lower-bounded.

Binary Codes

$$\mathcal{C}(B) := \{\sum_i x_i b_i : x \in \mathbb{F}_2^k\} \subset \mathbb{F}_2^n$$

k -dimensional subspace of \mathbb{F}_2^n ,
endowed with the Hamming metric.



Orthopodality

To mimic LLL we need
a notion of orthogonality
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 $\langle \mathbf{x}, \mathbf{y} \rangle = \sum x_i y_i \pmod{2}$
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$$|\mathbf{x} \oplus \mathbf{y}| = |\mathbf{x}| + |\mathbf{y}| \\ \text{if } \mathbf{x} \perp \mathbf{y}$$

$$\begin{array}{l} \mathbf{x} \quad \begin{array}{|c|c|c|c|c|} \hline 0 & 0 & 1 & 0 & 1 \\ \hline \end{array} \quad \text{Supp}(\mathbf{x}) = \{3, 5\} \\ \mathbf{y} \quad \begin{array}{|c|c|c|c|c|} \hline 1 & 0 & 0 & 1 & 0 \\ \hline \end{array} \quad \text{Supp}(\mathbf{y}) = \{1, 4\} \end{array}$$

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\mathbf{x}

0	0	1	0	1
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 $\text{Supp}(\mathbf{x}) = \{3, 5\}$
 $\pi_{\mathbf{x}^\perp}(\mathbf{y})$

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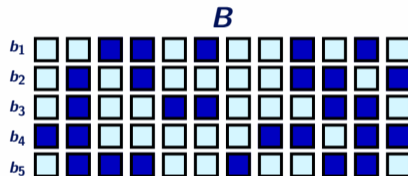
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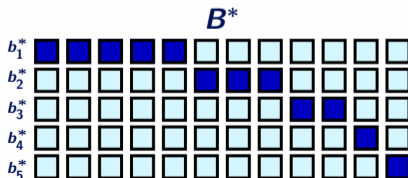
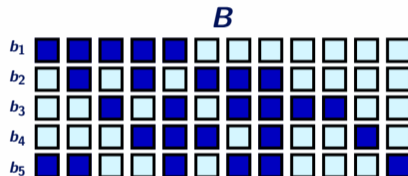
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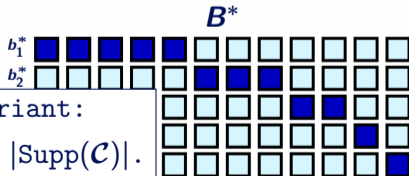
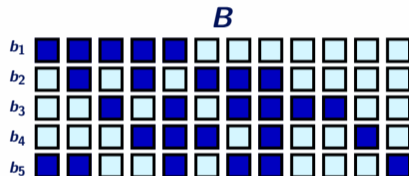
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Invariant:
 $\sum_{i=1}^k |b_i^*| = |\text{Supp}(\mathcal{C})|$.

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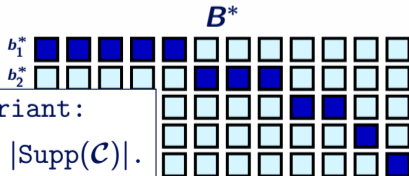
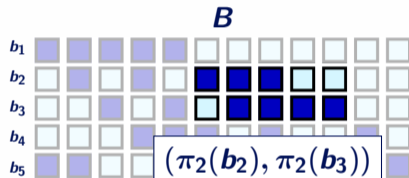
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Langrange Reduction (for codes)

Lemma

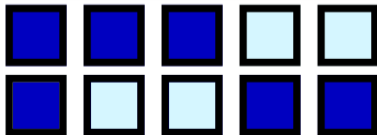
For any code \mathcal{C} of support size $n = |\text{Supp}(\mathcal{C})|$,
and rank $k = 2$, there exists a basis $\mathbf{b}_1, \mathbf{b}_2$ s.t.

$$|\mathbf{b}_1| \leq |\mathbf{b}_2|, \quad |\text{Supp}(\mathbf{b}_1) \cap \text{Supp}(\mathbf{b}_2)| \leq \frac{1}{2} \cdot |\mathbf{b}_1|.$$



$$|\mathbf{b}_1^*| \leq 2 \cdot |\mathbf{b}_2^*|$$

(Lattice case: $\|\mathbf{b}_1^*\| \leq \sqrt{4/3} \|\mathbf{b}_2^*\|$)



LLL Reduction (for codes)

Algorithm

While $\exists i$ s.t. $(\pi_i(\mathbf{b}_i), \pi_i(\mathbf{b}_{i+1}))$ is *not* Lagrange Reduced,
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- Runs in polynomial time.
- No need for an ϵ -relaxation.
- Same potential argument works.

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$$|\mathbf{b}_i^*| \leq 2 \cdot |\mathbf{b}_{i+1}^*|, \quad |\mathbf{b}_i^*| \geq 1$$

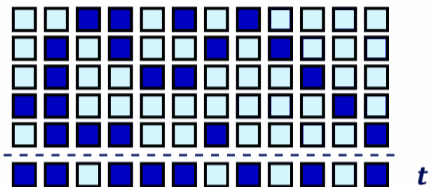


$$|\mathbf{b}_1| - \frac{\lceil \log_2 |\mathbf{b}_1| \rceil}{2} \leq \frac{n-k}{2} + 1$$

Griesmer bound!

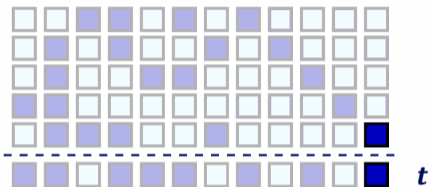
Babai Decoding (for codes)

Prange Decoding



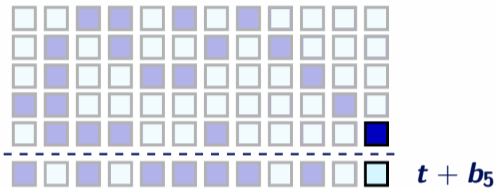
Babai Decoding (for codes)

Prange Decoding



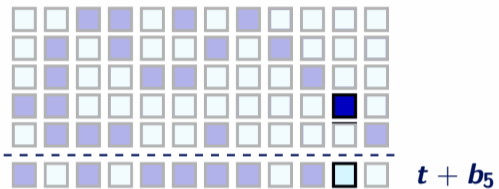
Babai Decoding (for codes)

Prange Decoding



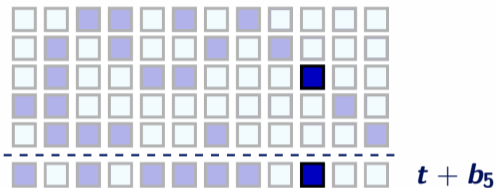
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Prange Decoding



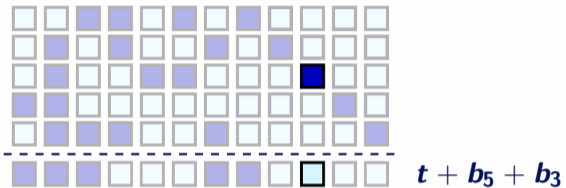
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Prange Decoding



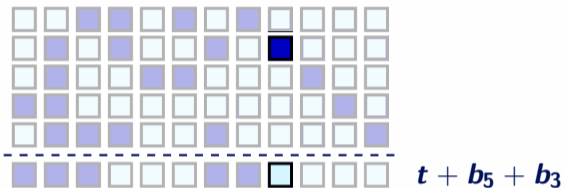
Babai Decoding (for codes)

Prange Decoding



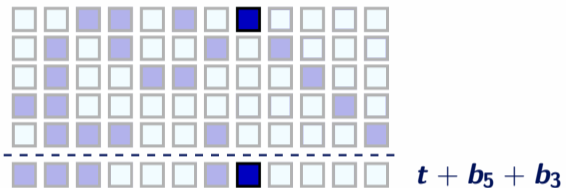
Babai Decoding (for codes)

Prange Decoding



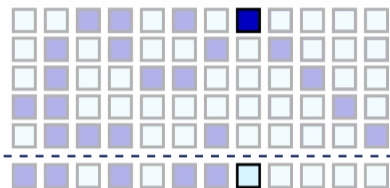
Babai Decoding (for codes)

Prange Decoding



Babai Decoding (for codes)

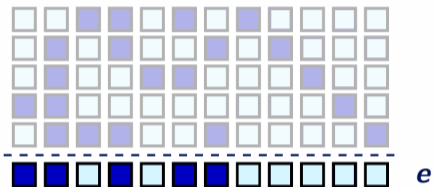
Prange Decoding



$$t + b_5 + b_3 + b_1$$

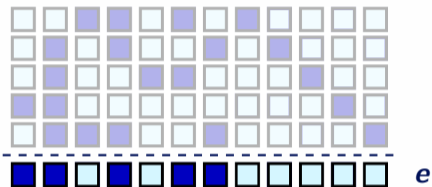
Babai Decoding (for codes)

Prange Decoding



Babai Decoding (for codes)

Prange Decoding



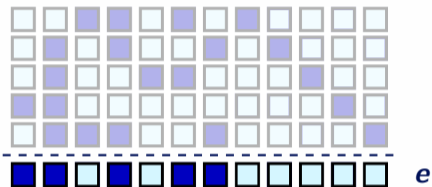
Fun. Domain: $\mathbf{e} \in \mathcal{F} := \mathbb{F}_2^{n-k} \times \{\mathbf{0}\}^k$

Worst-case: $|\mathbf{e}| \leq n - k$

Average-case: $\mathbb{E}[|\mathbf{e}|] = \frac{n-k}{2}$

Babai Decoding (for codes)

Prange Decoding

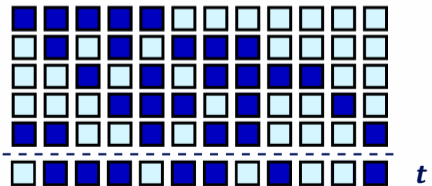


Fun. Domain: $\mathbf{e} \in \mathcal{F} := \mathbb{F}_2^{n-k} \times \{0\}^k$

Worst-case: $|\mathbf{e}| \leq n - k$

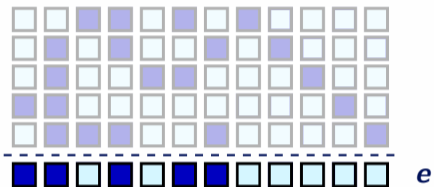
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Babai Decoding



Babai Decoding (for codes)

Prange Decoding

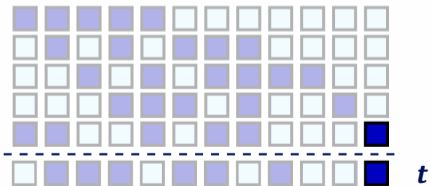


Fun. Domain: $\mathbf{e} \in \mathcal{F} := \mathbb{F}_2^{n-k} \times \{0\}^k$

Worst-case: $|\mathbf{e}| \leq n - k$

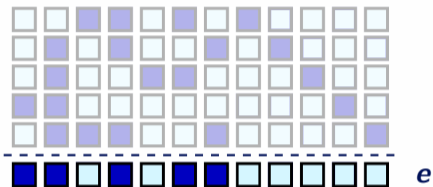
Average-case: $\mathbb{E}[|\mathbf{e}|] = \frac{n-k}{2}$

Babai Decoding



Babai Decoding (for codes)

Prange Decoding

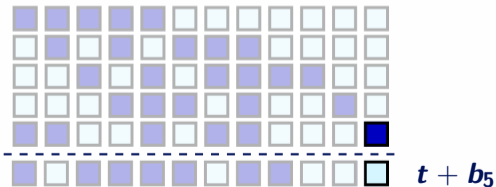


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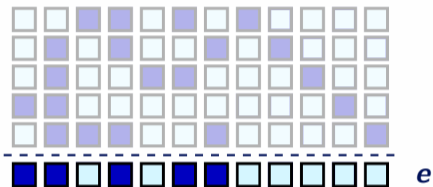
Average-case: $\mathbb{E}[|\mathbf{e}|] = \frac{n-k}{2}$

Babai Decoding



Babai Decoding (for codes)

Prange Decoding

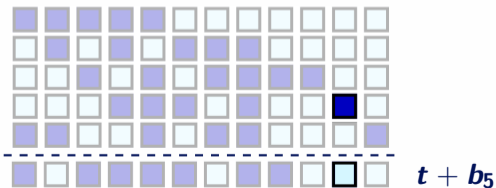


Fun. Domain: $e \in \mathcal{F} := \mathbb{F}_2^{n-k} \times \{0\}^k$

Worst-case: $|e| \leq n - k$

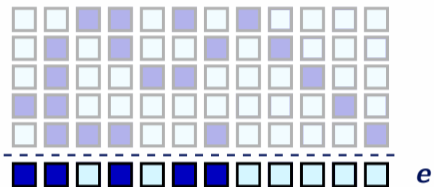
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Babai Decoding



Babai Decoding (for codes)

Prange Decoding

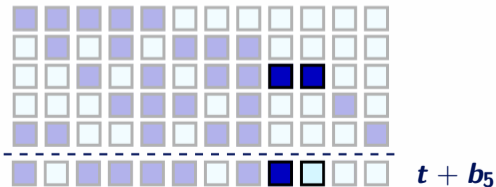


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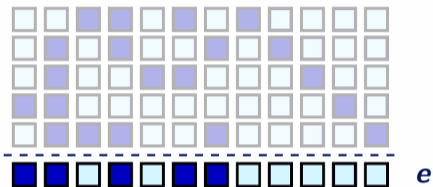
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Babai Decoding



Babai Decoding (for codes)

Prange Decoding

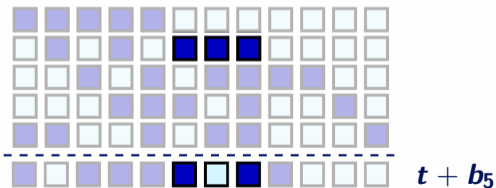


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Worst-case: $|\mathbf{e}| \leq n - k$

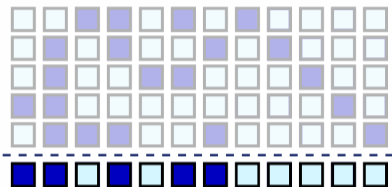
Average-case: $\mathbb{E}[|\mathbf{e}|] = \frac{n-k}{2}$

Babai Decoding



Babai Decoding (for codes)

Prange Decoding

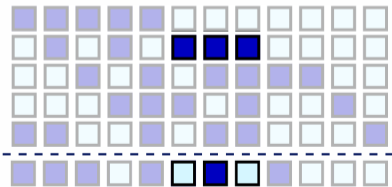


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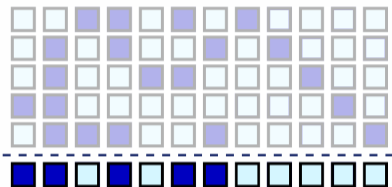
Babai Decoding



$t + b_5 + b_2$

Babai Decoding (for codes)

Prange Decoding

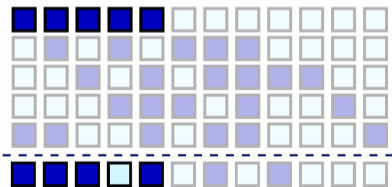


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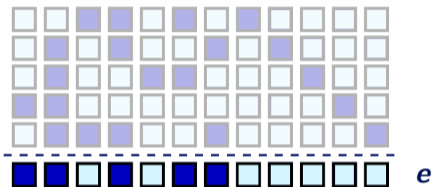
Babai Decoding



$t + b_5 + b_2$

Babai Decoding (for codes)

Prange Decoding

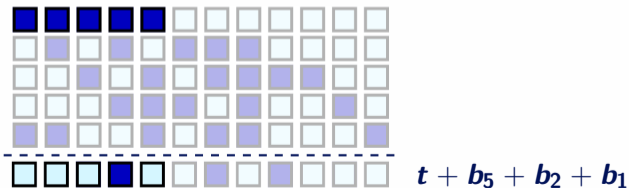


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Worst-case: $|\mathbf{e}| \leq n - k$

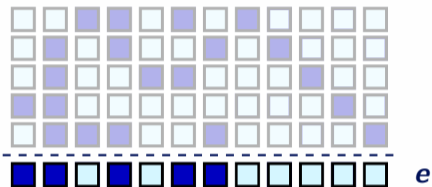
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Babai Decoding



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Prange Decoding

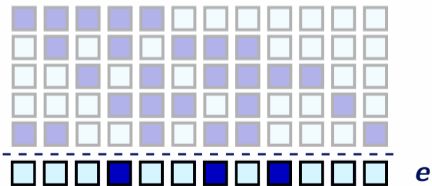


Fun. Domain: $\mathbf{e} \in \mathcal{F} := \mathbb{F}_2^{n-k} \times \{0\}^k$

Worst-case: $|\mathbf{e}| \leq n - k$

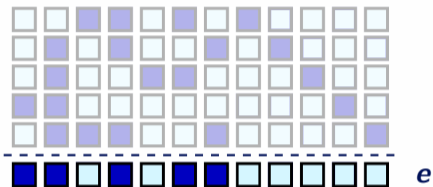
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Babai Decoding



Babai Decoding (for codes)

Prange Decoding

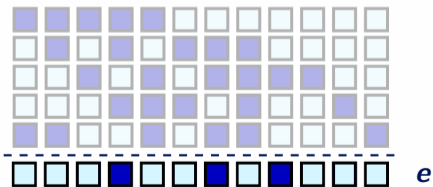


Fun. Domain: $\mathbf{e} \in \mathcal{F} := \mathbb{F}_2^{n-k} \times \{0\}^k$

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Babai Decoding



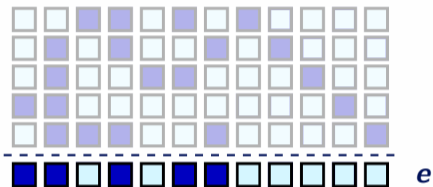
Fun. Domain(*): $\mathbf{e} \in \mathcal{F}_{B^*} := \prod_{i=1}^k \mathcal{B}_{\lfloor |b_i^*|/2 \rfloor}^{|b_i^*|}$

Worst-case: $|\mathbf{e}| \leq \sum_{i=1}^n \lfloor |b_i^*|/2 \rfloor \ll n - k$

Average-case: $\mathbb{E}[|\mathbf{e}|] \leq \frac{n-k}{2}$

Babai Decoding (for codes)

Prange Decoding

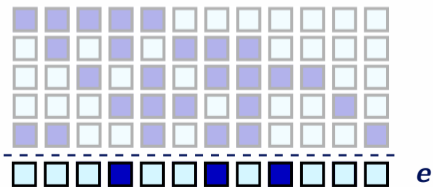


Fun. Domain: $\mathbf{e} \in \mathcal{F} := \mathbb{F}_2^{n-k} \times \{\mathbf{0}\}^k$

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Babai Decoding

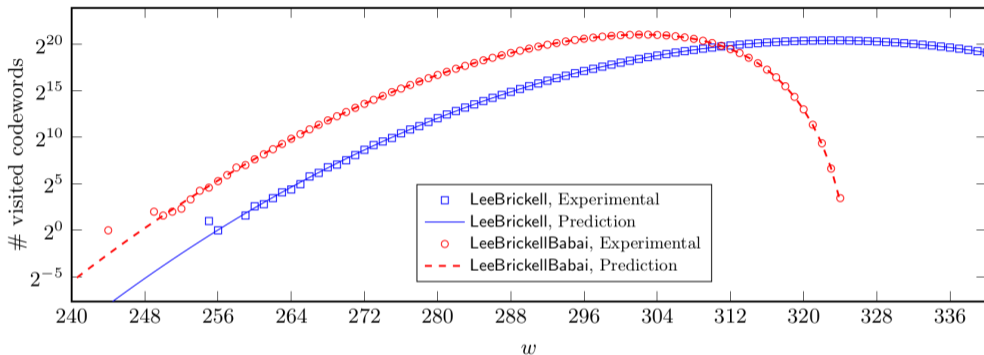


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Worst-case: $|\mathbf{e}| \leq \sum_{i=1}^n \lfloor |b_i^*|/2 \rfloor \ll n - k$
Better when

Average-case: $\mathbb{E}[|\mathbf{e}|] \leq \frac{n-k}{2}$ more reduced!

Improved hybrid algorithms



$\Theta(n^{0.717}/\log(n))$ heuristic speed-up over standard Lee Brickell.

Compatible with more advanced algorithms.

Thank you!

Paper:

eprint.iacr.org/2020/869

Code & Experiments:

github.com/lucas/CodeRed

Open-source!